

# THE STRENGTH OF THE EXISTENCE OF POSITIVE, FRIEDBERG AND MINIMAL NUMBERINGS

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## Numberings

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Elements of  $\mathcal{S}$  could be:

- formulae of first order arithmetic (Gödel)
- partial computable functions
- recursive models
- finite sets
- tuples of  $\mathbb{N}^n$

## Generalised approach of Goncharov and Sorbi

Consider families of objects which admits a constructive description in a certain formal language.

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- $\Sigma_n^0$ -formulae of first order arithmetic
- partial  $\Sigma_n^0$ -computable functions
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- $\Sigma_n^0$ -formulae of first order arithmetic
- partial  $\Sigma_n^0$ -computable functions
- $\Delta_n^0$ -recursive models

Let  $\mathcal{S}$  be at most countable family of  $\Sigma_n^0$ -subsets of  $\mathbb{N}$ .

A numbering of  $\nu: \mathbb{N} \rightarrow \mathcal{S}$  is  $\Sigma_n^0$ -computable if  $\{\langle m, x \rangle \mid x \in \nu(m)\} \in \Sigma_n^0$ .

## Roger semilattice

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$\nu$  is reducible to  $\mu$ ,  $\nu \leq \mu$ , if there exists a total computable function  $f: \mathbb{N} \rightarrow \mathbb{N}$  such that  $\nu(k) = \mu(f(k))$  for all  $k \in \mathbb{N}$ .

Numberings  $\nu$  and  $\mu$  are equivalent if  $\nu \leq \mu$  and  $\mu \leq \nu$ .

## Interesting numberings

A numbering  $\nu: \mathbb{N} \rightarrow \mathcal{S}$  is called Friedberg if  $\nu$  is injective, i.e.  $\nu(k) \neq \nu(l)$  for all  $k \neq l$ .

A numbering  $\nu: \mathbb{N} \rightarrow \mathcal{S}$  is positive if the set  $\{\langle k, l \rangle : \nu(k) = \nu(l)\}$  is c.e.

A numbering  $\nu: \mathbb{N} \rightarrow \mathcal{S}$  is minimal if for every numbering  $\mu: \mathbb{N} \rightarrow \mathcal{S}$  it holds that if  $\mu \leq \nu$ , then  $\mu \equiv \nu$ .



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if  $\mu \leq \nu$ , then  $\mu \equiv \nu$ .

Friedberg  $\Rightarrow$  positive  $\Rightarrow$  minimal

## Wei Li's analysis

### Theorem (Li)

Over  $PA^- + B\Sigma_2$ ,

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Let  $\langle W_0, W_1, \dots \rangle$  be an enumeration of all c.e. sets.

Use  $I\Sigma_2$  to argue that, for each  $e \in \mathbb{N}$ , there exists the least index  $i$  for  $W_e$ , that is

$$\forall i < e (W_i \neq W_e)$$

Define a Friedberg numbering for  $\langle W_0, W_1, \dots \rangle$

## Numberings in RM

$\Sigma_n^0$ -numbering  $\nu$  is coded by a  $\Sigma_n^0$ -formula  $\psi(x, y)$ , that is

$$\nu(x) = \{y : \psi(x, y)\}, \text{ for } x \in \mathbb{N}.$$

## Friedberg numberings

### Theorem

Let  $n \neq 0$ . Over  $\text{RCA}_0$  the following are equivalent:

- $\text{ACA}_0$
- for any  $A \subseteq \mathbb{N}$  and any  $\Sigma_n^A$ -numbering  $\nu$   
there exists a set  $B \subseteq \mathbb{N}$  and a Friedberg  $\Sigma_n^B$ -numbering  $\mu$   
such that  $\nu$  and  $\mu$  index the same family

- $\text{ACA}_0 \vdash$  for any  $\Sigma_n^A$ -numbering  $\nu$  there exists a Friedberg  $\Sigma_n^B$ -numbering •

Let  $\psi(x, y, A)$  be a  $\Sigma_n^0$ -formula encoding  $\nu: \mathbb{N} \rightarrow \mathcal{S}$ .

$$X = \{e : (\forall i < e)(\nu(i) \neq \nu(e))\} \in \Sigma_n^{A'}$$

By  $\text{RCA}_0 + \text{ISigma}_n^0$ , let  $\pi_X: \mathbb{N} \rightarrow \mathbb{N}$  be an injective  $\Delta_n^{A'}$ -enumeration of  $X$ .

The Friedberg numbering  $\mu$  is defined as follows:

$$\xi(x, y, A') = \exists z(\pi_X(x) = z \wedge \psi(z, y, A'))$$

Then  $\mu$  is a Friedberg  $\Sigma_n^{A'}$ -numbering of the family  $\mathcal{S}$

- for any  $\Sigma_n^A$ -numbering  $\nu$  there exists a Friedberg  $\Sigma_n^B$ -numbering  $\vdash \text{ACA}_0$  •

Let  $g: \mathbb{N} \rightarrow \mathbb{N}$  be injective.

We define a  $\Sigma_1^0$ -numbering  $\nu$  of a family  $\mathcal{S}$  as follows:

$$\begin{aligned}\nu(2x) &= \{2x\} \cup \{2x + 1 : \exists t (g(t) = x)\}, \\ \nu(2x + 1) &= \{2x + 1\} \cup \{2x : \exists t (g(t) = x)\}.\end{aligned}$$

- $x \notin \text{range}(g) \Rightarrow \nu(2x) = \{2x\}$  and  $\nu(2x + 1) = \{2x + 1\}$
- $x \in \text{range}(g) \Rightarrow \nu(2x) = \nu(2x + 1) = \{2x, 2x + 1\}$

Let  $\mu$  be a Friedberg  $\Sigma_1^0$ -numbering of  $\mathcal{S}$ . Then the set  $\text{range}(g)$  is  $\Delta_1^0$ -definable:

$$x \notin \text{range}(g) \Leftrightarrow \exists i \exists j [i \neq j \wedge 2x \in \mu(i) \wedge 2x + 1 \in \mu(j)]$$

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$\nu$  is reducible to  $\mu$ ,  $\nu \leq \mu$ , if there exists an index  $e \in \mathbb{N}$  such that

$$\forall k [\nu(k) = \mu(\varphi_e(k))]$$

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Numberings  $\nu$  and  $\mu$  are equivalent if  $\nu \leq \mu$  and  $\mu \leq \nu$ .

A  $\Sigma_n^0$ -numbering  $\nu: \mathbb{N} \rightarrow \mathcal{S}$  is minimal if for any  $\Sigma_n^0$ -numbering  $\mu$  of  $\mathcal{S}$   
 $\mu \leq \nu$  implies  $\nu \leq \mu$ .

## Theorem

Suppose that  $n \geq 2$ . Over  $\text{RCA}_0$ ,  $\text{I}\Sigma_2$  proves the following principle:  
for any  $\Sigma_n^A$ -numbering  $\nu$  there exists a minimal  $\Sigma_n^A$ -numbering  $\mu$   
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## Theorem (Chong Yang)

Over  $\text{RCA}_0 + \text{B}\Sigma_2$ ,  $\text{I}\Sigma_2$  is equivalent to the existence of a maximal  $\Sigma_1$ -set.

## Positive numberings

A  $\Sigma_n^0$ -numbering  $\nu: \mathbb{N} \rightarrow \mathcal{S}$  is positive if there exists a  $\Sigma_1$ -formula  $\theta(x, y)$  such that:

$$\forall x \forall y [\nu(x) = \nu(y) \leftrightarrow \theta(x, y)]$$

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### Theorem

Let  $n \in \mathbb{N}$ . Over  $\text{RCA}_0 + \text{I}\Sigma_2$  the following are equivalent:

- $\text{ACA}_0$
- for any  $\Sigma_n^A$ -numbering  $\nu$  there exists a positive  $\Sigma_n^B$ -numbering  $\mu$  such that  $\nu$  and  $\mu$  index the same family.

existence of  $\Sigma_n^0$ -Friedberg

existence of  $\Sigma_n^0$ -positive

existence of  $\Sigma_n^0$ -minimal

existence of  $\Sigma_n^0$ -Friedberg

$ACA_0$

existence of  $\Sigma_n^0$ -positive

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$RCA_0 + I\Sigma_2$

existence of  $\Sigma_n^0$ -minimal



existence of  $\Sigma_n^0$ -Friedberg

$ACA_0$

internal properties

existence of  $\Sigma_n^0$ -positive

$RCA_0 + I\Sigma_2$

existence of  $\Sigma_n^0$ -minimal

global properties

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## Weihrauch reducibility

A  $\Sigma_n^0$ -coded numbering is a sequence  $p \in \omega^\omega$  satisfying the followings:

- $p = p_1 \oplus p_2$ ,
- for each  $k \in \omega$ ,  $p_1(k) = \langle x, y, \sigma \rangle$  for some  $x, y \in \omega$  and  $\sigma \in \omega^{<\omega}$ ,
- for any  $x, y \in \omega$ ,  $\exists k \exists \sigma p_1(k) = \langle x, y, \sigma \rangle \Rightarrow \forall \tau \supseteq \sigma \exists m p_1(m) = \langle x, y, \tau \rangle$ ,
- $p_2$  is a  $\Delta_n^0$ -presentation of a function  $g \in \omega^\omega$ ,  
i.e.  $g(a) = \lim_{s_1} \dots \lim_{s_{n-1}} p_2(\langle a, s_1, \dots, s_{n-1} \rangle)$  for each  $a \in \omega$ .

A numbering  $\nu$  is represented by a  $\Sigma_n^0$ -coded numbering  $p = p_1 \oplus p_2$  (where  $p_2$  is a  $\Delta_n^0$ -presentation of a function  $g$ ) if and only if for every  $x \in \omega$ ,

$$\nu(x) = \{y \in \omega : \exists k \exists \sigma \sqsubset g(p_1(k) = \langle x, y, \sigma \rangle)\}$$

## Friedberg numberings

Let  $n \geq 1$ . Then  $\text{Fried}_n: \subseteq \omega^\omega \rightrightarrows \omega^\omega$  is the following multi-valued function:

- Input/instance: a  $\Sigma_n^0$ -coded numbering  $\nu$  of an infinite family,
- Output/solution: a  $\Sigma_1^0$ -coded Friedberg numbering  $\mu$  of the same family.

$\text{lim}: \omega^{\omega \times \omega} \rightarrow \omega^\omega$  is the following function.

- Input/instance: An  $f \in \omega^{\omega \times \omega}$  such that  $\text{lim } f$  exists,
- Output/solution:  $\text{lim } f$ .

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## Theorem

$\text{lim}^{(n-1)} \equiv_{\text{sW}} \text{Fried}_n$ .