

PA relative to an enumeration oracle



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Enumeration reducibility

Definition (Friedberg and Rogers 1959)

$A \leq_e B$ if there is a program that transforms an enumeration of B (a function on the natural numbers with range B) to an enumeration of A .

The program can always be chosen as a c.e. table of axioms of the sort:

$$\text{If } \{x_1, x_2, \dots, x_k\} \subseteq B \text{ then } x \in A.$$

Compare this to the relation “c.e. in” which can be defined as follows:

A is c.e. in B if there is a c.e. table of axioms of the sort:

$$\text{If } \{x_1, x_2, \dots, x_k\} \subseteq B \text{ and } \{y_1, y_2, \dots, y_n\} \subseteq B^c \text{ then } x \in A.$$

Proposition. A is c.e. in B if and only if $A \leq_e B \oplus B^c$.

Unlike the relation “c.e. in”, the relation \leq_e is transitive. It gives rise to the structure of the enumeration degrees \mathcal{D}_e .

The Turing degrees properly embed into \mathcal{D}_e as the *total degrees*, degrees of sets of the form $A \oplus A^c$.

Relative to an enumeration oracle

When we relativize a class of objects with respect to a Turing oracle A , we usually replace “c.e.” by “c.e. in A ”.

Example

G is A -generic if G meets or avoids every set of strings W that is c.e. in A .

U is a $\Sigma_1^0(A)$ class if $U = [W] = \{X \in 2^\omega \mid (\exists \sigma \in W)[\sigma \leq X]\}$ for some c.e. in A set W .

We can extend these properties/relations to enumeration oracles by replacing “c.e. in A ” by “ $\leq_e A$ ”.

Example

G is $\langle A \rangle$ -generic if G meets or avoids every set of strings $W \leq_e A$.

U is a $\Sigma_1^0\langle A \rangle$ class if $U = [W]$ for some $W \leq_e A$.

Today we discuss the extension of the relation “PA above” to enumeration oracles.

The relation “PA above”

Recall that for Turing oracles A and B we say that B is PA above A if B computes a member of every nonempty $\Pi_1^0(A)$ class.

Definition

P is a $\Pi_1^0\langle A \rangle$ class if P is the complement of a $\Sigma_1^0\langle A \rangle$ class, i.e. there is some $W \leq_e A$ such that $P = 2^\omega \setminus [W]$.

Note that a $\Pi_1^0\langle A \oplus A^c \rangle$ class is just a $\Pi_1^0(A)$ -class.

We treat the elements of a $\Pi_1^0\langle A \rangle$ class P as total objects! B enumerates a member of P , if there is some $X \in P$ such that $X \oplus X^c \leq_e B$.

If P is a $\Pi_1^0\langle A \rangle$ class then so are $\{X^c \mid X \in P\}$ and $\{X \oplus X^c \mid X \in P\}$.

Definition

$\langle B \rangle$ is PA relative to $\langle A \rangle$ if B enumerates a member of every nonempty $\Pi_1^0\langle A \rangle$ class.

Note that B is PA above A if and only if $\langle B \oplus B^c \rangle$ is PA above $\langle A \oplus A^c \rangle$.

Good oracles: the continuous degrees

The *continuous degrees* were introduced by Miller (2004) to capture the algorithmic content of points in computable Polish spaces. They form a proper (definable) subclass of the enumeration degrees and properly extend the total degrees.

Theorem (Miller 2004).

- 1 If \mathbf{a} is a nontotal continuous degree then the set total degrees bounded \mathbf{a} is a *Scott set*, i.e. a Turing ideal closed under the relation PA above.
- 2 For total degrees \mathbf{y} is PA above \mathbf{x} if and only if there is some non-total continuous degree \mathbf{a} with $\mathbf{x} < \mathbf{a} < \mathbf{y}$.

Theorem (Andrews, Igusa, Miller, S 2019). A has continuous degree if and only if A is *codable*—there is a nonempty $\Pi_1^0\langle A \rangle$ class C_A such that every member of C_A uniformly enumerates A .

Good oracles: the continuous degrees

Corollary.

- 1 If A has continuous degree then $\langle A \rangle$ is not PA relative to $\langle A \rangle$ —not *self-PA*.
- 2 If A has continuous degree and $\langle B \rangle$ is PA relative to $\langle A \rangle$ then $A \leq_e B$ — A is *PA bounded*.
- 3 There is a *universal* $\Pi_1^0 \langle A \rangle$ -class P : a nonempty class whose every member is PA relative to $\langle A \rangle$.

Proof:

- 1 If A enumerates a member of C_A then A is total.
- 2 If B is PA relative to A then B enumerates a member of C_A and hence by transitivity A .
- 3 Let P be the $\Pi_1^0 \langle A \rangle$ class of all $X \oplus f$ where $X \in C_A$ and f is DNC-2 relative to X . Every nonempty $\Pi_1^0 \langle A \rangle$ class is a $\Pi_1^0(X)$ class and f computes a member of it. □

Question. Are there any bad oracles?

Bad oracles: $\langle \text{self} \rangle$ -PA oracles

Theorem (Miller, Soskova 2014). There are $\langle \text{self} \rangle$ -PA degrees.

Proof: At stage s we have determined finitely many columns of a set A , say $A^{[0]}, \dots, A^{[k]}$. Let A_s^* be the set with columns $A^{[0]}, \dots, A^{[k]}, \omega, \omega, \dots$. We have that $P_e \langle A \rangle = 2^\omega \setminus \Gamma_e(A)$ is a superset of $P_e \langle A_s^* \rangle$.

- 1 If $P_e \langle A_s^* \rangle = \emptyset$ then by compactness there is a finite set $E \subseteq A_s^*$ such that $P_e \langle E \rangle$ is empty. Extend to make $E \subseteq A$.
- 2 Otherwise $P_e \langle A_s^* \rangle$ is a nonempty $\Pi_1^0(\bigoplus_{i < k} A^{[i]})$ class. Extend so that $A^{[k+1]}$ is PA relative to the first $k+1$ columns. □

Proposition. If A is $\langle \text{self} \rangle$ -PA then A cannot have a universal class.

Proof: If A is $\langle \text{self} \rangle$ -PA and P is universal then A enumerates some $X \in P$. But now every $\Pi_1^0(X)$ -class is a $\Pi_1^0 \langle A \rangle$ class and X computes a member of it. □

Question.

- 1 Can $\langle \text{self} \rangle$ -PA degrees be PA bounded?
- 2 Can non-continuous degrees have a universal class?

Continuous = PA bounded

Theorem(Franklin, Lempp, Miller, Schweber, and S 2019). The continuous degrees are exactly the PA bounded enumeration degrees.

Proof idea: If A does not have continuous degree, we use the fact that A is not codable to produce a nested sequence of $\Pi_1^0\langle A \rangle$ -classes $\{P_e\}_{e<\omega}$ such that every member of P_e computes a member of each nonempty $\Pi_1^0\langle A \rangle$ indexed by a number less than e but does not enumerate A via Γ_e . We then take $X \in \bigcap P_e$.

Question.

- 1 Can $\langle \text{self} \rangle$ -PA degree be PA bounded? No!
- 2 *Can non-continuous degrees have a universal class?*

Other ways to have a universal class

Definition

An enumeration oracle $\langle A \rangle$ is *low for PA* if every set $X \oplus X^c$ that is PA (in the Turing sense) is PA relative to $\langle A \rangle$.

Total non c.e. oracles cannot be low for PA. In fact, low for PA oracles are *quasiminimal* (hence disjoint from continuous degrees).

Low for PA oracles have a universal class (e.g. DNC_2).

Theorem(Goh, Kalimullin, Miller, S). $\langle A \rangle$ is low for PA if and only if every nonempty $\Pi_1^0 \langle A \rangle$ class has a nonempty Π_1^0 subclass.

Theorem(GKMS). The following classes of e-oracles are low for PA.

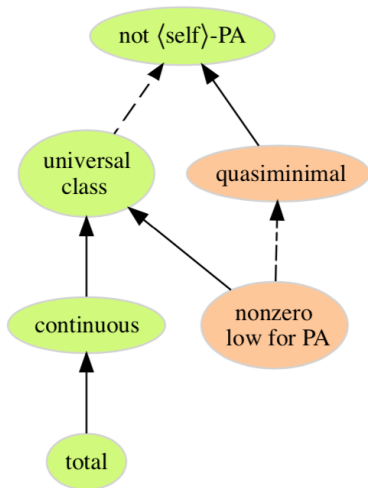
- 1 The 1-generic degrees.
- 2 Halves of nontrivial \mathcal{K} -pairs.

Proof sketch: Fix a 1-generic G and suppose $P_e \langle G \rangle$ is nonempty. Consider

$$W = \{\tau \mid P_e \langle \tau \rangle = \emptyset\}.$$

Fix $\sigma \leq G$ with no extension in W . The set $P_e \langle \sigma 111 \dots \rangle$ is nonempty and a subset of $P_e \langle G \rangle$.

The picture so far



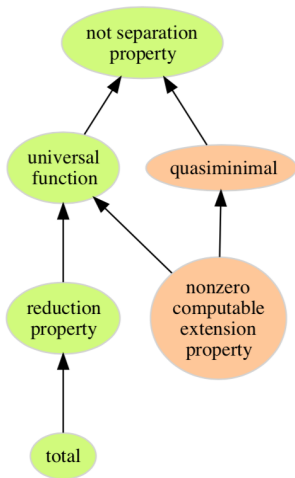
Notions from descriptive set theory

Definition (Kalimullin, Puzarenko 2005)

Let X be an enumeration oracle.

- 1 X has the *reduction property* if for all pairs of set $A, B \leq_e X$ there are sets $A_0, B_0 \leq_e X$ such that $A_0 \subseteq A$, $B_0 \subseteq B$, $A_0 \cap B_0 = \emptyset$, and $A_0 \cup B_0 = A \cup B$;
- 2 X has the *uniformization property* if whenever $R \leq_e X$ is a binary relation there is a function f with graph $G_f \leq_e X$ such that $\text{dom}(f) = \text{dom}(R)$.
- 3 X has the *separation property* if for every pair of disjoint sets $A, B \leq_e X$ there is a separator C such that $A \subseteq C$, $B \subseteq C^c$, and $C \oplus C^c \leq_e X$.
- 4 X has the *computable extension property* if every partial function φ with $G_\varphi \leq_e X$ has a (partial) computable extension $\psi \subseteq \varphi$.
- 5 X has a *universal function* if there is a partial function U with $G_U \leq_e X$ such that if φ is a partial function with $G_\varphi \leq_e X$ then for some e we have that $\varphi = \lambda x.U(e, x)$.

Kalimullin and Puzarenko's theorem



The reduction property

X has the *reduction property* if whenever $A, B \leq_e X$ there are disjoint $A_0, B_0 \leq_e X$ with $A_0 \subseteq A$, $B_0 \subseteq B$, and $A_0 \cup B_0 = A \cup B$;

Example

Kleene's O has the reduction property because $A \leq_e O$ if and only if A is Π_1^1 .

We want to construct a $\Pi_1^0\langle X \rangle$ class U such that if $P_e\langle X \rangle \neq \emptyset$ then the e -th column in any member of U codes a member of $P_e\langle X \rangle$.

If X were total we would fix enumerations of $\Gamma_e(X)$ relative to X and let U be the class of separators for

- 1 The set A of all $\langle e, \sigma \rangle$ such that all extensions of $\sigma 0$ leave $P_e\langle X \rangle$ *first*.
- 2 The set B of all $\langle e, \sigma \rangle$ such that all extensions of $\sigma 1$ leave $P_e\langle X \rangle$ *first*.

If X is not total then we don't have a notion of *first*!

But then for σ with no extension in P_e we will have $\langle e, \sigma \rangle \in A \cap B$.

The reduction property lets us solve exactly this problem!

Theorem(GKMS). The reduction property implies having a universal class.

The separation property

X has the *separation property* if for every pair of disjoint sets $A, B \leq_e X$ there is a separator C such that $A \subseteq C$, $B \subseteq C^c$, and $C \oplus C^c \leq_e X$.

Note that the set of all separators C for sets $A, B \leq_e X$ is a $\Pi_1^0\langle X \rangle$ class.

Definition

A $\Pi_1^0\langle X \rangle$ class P is a *separation class* if $P = \{C \mid A \subseteq C \text{ \& } B \subseteq C^c\}$ for some disjoint $A, B \leq_e X$. Call such classes *Sep* $\langle X \rangle$ for short.

Proposition. X has the separation property if and only if X enumerates a path in every *Sep* $\langle X \rangle$ class.

If X is $\langle \text{self} \rangle$ -PA then X has the separation property.

Computable extension property

X has the *computable extension* property if every partial function φ with $G_\varphi \leq_e X$ has a (partial) computable extension $\psi \subseteq \varphi$.

Theorem (GKMS). The following are equivalent:

- 1 X has the computable extension property.
- 2 Every $\{0, 1\}$ -valued function with graph reducible to X has a computable $\{0, 1\}$ -valued extension.
- 3 If $A \leq_e X$ and $B \leq_e X$ are disjoint then there are disjoint c.e. sets C and D such that $A \subseteq C$ and $B \subseteq D$.
- 4 Every set Y with PA degree computes a member of every separation class relative to $\langle X \rangle$.

And so if X is low for PA then X has the computable extension property.

A mystery solved by introducing uniformity

X has a *universal function* if there is a partial function U with $G_U \leq_e X$ such that if φ is a partial function with $G_\varphi \leq_e X$ then for some e we have that $\varphi = \lambda x.U(e, x)$

Question. This should be an analog of having a universal class, but how?

We defined a *universal $\Pi_1^0\langle X \rangle$ -class* to be a nonempty class whose every member is PA relative to $\langle X \rangle$, i.e. enumerates a path in every nonempty $\Pi_1^0\langle X \rangle$ class. We will adjust this definition introducing a little uniformity:

Definition

P is a *universal $\Pi_1^0\langle X \rangle$ -class* if for every nonempty $\Pi_1^0\langle X \rangle$ class Q there is a uniform procedure that produces a path from Q relative to every member of P .

In all cases we looked at so far, that is the case: total degrees, the continuous degrees, the low for PA degrees, the oracles with the reduction property!

Universal for Sep $\langle X \rangle$ classes

Theorem (GKMS). The following are equivalent

- 1 X has a universal function;
- 2 X has a $\{0, 1\}$ -valued universal function U for $\{0, 1\}$ -valued partial functions φ with $G_\varphi \leq_e X$;
- 3 There is a $\Pi_1^0\langle X \rangle$ class P such that for every Sep $\langle X \rangle$ -class Q there is a uniform procedure that produces a path from Q relative to every member of P . (This class can be chosen as a separating class.)

Proof sketch: 2 \Rightarrow 3 Let P be the separating class for the disjoint sets $\{\langle e, x \rangle \mid U(e, x) = 0\}$ and $\{\langle e, x \rangle \mid U(e, x) = 1\}$. If $A, B \leq_e X$ are disjoint then $A \times \{0\} \cup B \times \{1\}$ is the graph of a partial function $\lambda x.U(e, x)$ for some e . The e -th column of any path in P is a separator for A, B .

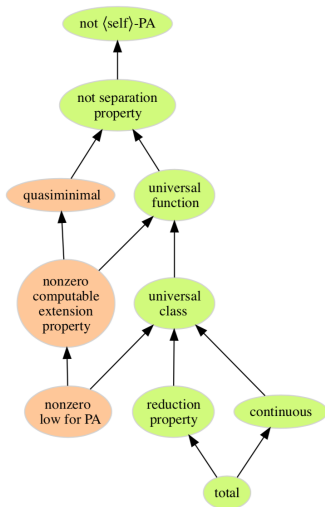
3 \Rightarrow 2 Given P define $U(\langle e, i \rangle, x) = y$ if

Think of $\Gamma_e(X)$ as the graph of a $\{0, 1\}$ -valued function φ and Φ_i^Y as a separator for $\{x \mid \varphi(x) = 1\}$ and $\{x \mid \varphi(x) = 0\}$ for every $Y \in P$.

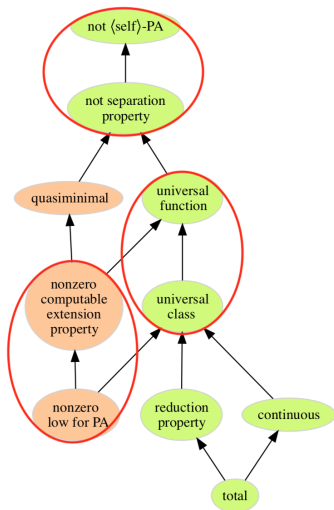
- 1 $y \leq 1$ and $\langle x, y \rangle \in \Gamma_e(X)$,
- 2 there is a finite set $D \subseteq 2^{<\omega}$ such that $P \subseteq [D]$ and an n such if $\sigma \in 2^n \cap [D]$ then $\Phi_i^\sigma(x) \downarrow = y$.



A summary of the results by Goh, Kalimullin, Miller, and Soskova



A summary of the results by Goh, Kalimullin, Miller, and Soskova



All of the arrows are strict! A forcing notion

Let $f(n) = 2^n$. We identify ω with $f^{<\omega}$ —the set of sequences $\sigma \in \omega^{<\omega}$ such that $\sigma(n) < 2^n$ for all $n < |\sigma|$.

A forcing condition is a pair $\langle T, \varepsilon \rangle$:

- T is a finite subtree of $f^{<\omega}$ of height $|T|$;
- $\varepsilon \in (0, 1)$ is rational.

We associate the set $A_T = f^{\leq |T|} \setminus T$ to the condition $\langle T, \varepsilon \rangle$.

$\langle S, \delta \rangle \leq \langle T, \varepsilon \rangle$ if and only if

- $T = S \upharpoonright |T|$,
- $\delta \leq \varepsilon$, and
- for every $\sigma \in S$ with $|T| \leq |\sigma| < |S|$, at least $\lceil (1 - \varepsilon) \cdot 2^{|\sigma|} \rceil$ of its immediate successors lie in S .

If \mathcal{F} is a filter in this partial order then let $G = \bigcup_{\langle T, \varepsilon \rangle \in \mathcal{F}} T$ and $A_G = f^{<\omega} \setminus G$.

Genericity ensures the computable extension property

Lemma. If G is sufficiently generic, then A_G has the computable extension property.

Proof: Fix $\langle T, \varepsilon \rangle$ and a pair of enumeration operators Γ_0 and Γ_1 .

Suppose we cannot extend $\langle T, \varepsilon \rangle$ to $\langle S, \delta \rangle$ to make $\Gamma_0(A_S)$ and $\Gamma_1(A_S)$ intersect.

We want to extend $\langle T, \varepsilon \rangle$ to ensure that $\Gamma_0(A_G)$ and $\Gamma_1(A_G)$ are separated by disjoint c.e. sets.

We claim that $\langle T, \varepsilon/2 \rangle$ is such an extension: let C_i to be the set of all n for which there is some condition $\langle S, \delta \rangle$ extending $\langle T, \varepsilon/2 \rangle$ such that $n \in \Gamma_i(A_S)$.

- C_0 and C_1 are c.e.
- If we assume that they are not disjoint, say n is put in $\Gamma_0(A_{S_0})$ via $\langle S_0, \delta_0 \rangle$ and in $\Gamma_1(A_{S_1})$ via $\langle S_1, \delta_1 \rangle$, then $\langle S_0 \cap S_1, \varepsilon \rangle$ extends $\langle T, \varepsilon \rangle$ and has $n \in \Gamma_0(A_{S_0 \cap S_1}) \cap \Gamma_1(A_{S_0 \cap S_1})$ contradicting our assumption. \square

The more difficult separations

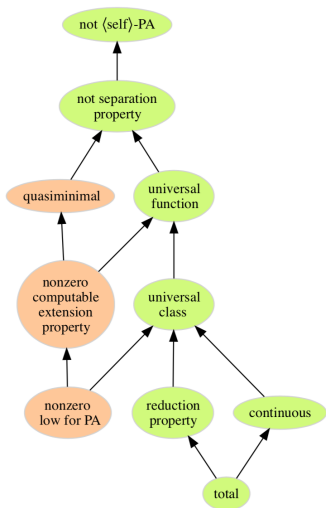
Lemma. If G is sufficiently generic, then A_G does not have a universal class.

Proof: A much more elaborate analysis of the forcing notion.

Lemma. There is a set A that has the separation property, but is not $\langle \text{self} \rangle$ -PA.

Proof: A combination of the two two forcing notions that we discussed.

Thank You!



Open questions.

- 1 Is the extra uniformity that we added to the definition of universal class necessary?
- 2 If A has a universal class does A have a separating class that is universal?
- 3 Is the relation PA relative to an enumeration oracle definable?

Visit <http://zoo.ludovicpatey.com/> to build your own pretty diagram!